

OAHU CANDIDATES-  
SUBMIT 1 ORIGINAL AND 1 COPY

NEIGHBOR ISLAND CANDIDATES-  
SUBMIT 1 ORIGINAL AND 2 COPIES

# STATE OF HAWAII CAMPAIGN SPENDING COMMISSION

1 / 5

## DISCLOSURE REPORT CANDIDATE COMMITTEE

PLEASE TYPE OR PRINT CLEARLY WITH INK (INSTRUCTIONS FOR COMPLETING THE DISCLOSURE REPORT CAN BE FOUND IN THE "GUIDEBOOK FOR CANDIDATE COMMITTEES.")

<b>SECTION I-CANDIDATE AND CANDIDATE COMMITTEE:</b>		<b>SECTION II-TYPE OF REPORT:</b> (See the Schedule of Reporting Dates to complete this section)	
(a) Candidate Name:  Ms Carol Philips		<input type="checkbox"/> 1st Preliminary Primary <input type="checkbox"/> Amended <input type="checkbox"/> First <input type="checkbox"/> Third	
(b) Committee Name: Friends for Carol Anne Philips		<input type="checkbox"/> 2nd Preliminary Primary <input type="checkbox"/> Short Form <sup>1</sup> <input type="checkbox"/> Second <input type="checkbox"/> Fourth	
(c) Mailing Address: P.O. Box Box 8  Haleiwa HI 96712		<input type="checkbox"/> Final Primary <input type="checkbox"/> Preliminary General	
(d) Phone (Bus) 599-5251 (Res) 262-2447 Treasurer's		<input type="checkbox"/> Final Election Period	
		<input checked="" type="checkbox"/> Supplemental	
		<b>REPORTING PERIOD</b> 11/06/2002 through 12/31/2002	

### SECTION III-SUMMARY OF RECEIPTS AND DISBURSEMENTS (Complete Section IV on the Back of this Form Before Completing This Section)

	COLUMN A TOTAL THIS PERIOD	COLUMN B ELECTION PERIOD TOTAL TO DATE	
1. Cash on Hand at the Beginning of the Election Period..... <sup>2</sup>		2025.55	1
2. Cash on Hand at the Beginning of this Reporting Period.....	2025.55		2
3. Total Receipts (From Line 15).....	4056.52	4056.52	3
4. Subtotal (Add lines 2 and 3 for Column A and Lines 1 and 3 for Column B).....	6082.07	6082.07	4
5. Total Disbursements (not including Unpaid Expenditures) (From Line 19).....	3501.98	3501.98	5
6. Cash on Hand at the Closing of this Reporting Period (Subtract Line 5 from Line 4).....	2580.09	2580.09	6
7. Total Loans at the Closing of this Reporting Period.....	1476.52		7
8. Total Unpaid Expenditures at the Closing of this Reporting Period.....	0.00		8
9. Debts Owed at the Closing of this Reporting Period (Add lines 7 and 8).....	1476.52		9
10. Surplus/Deficit (Subtract Line 9 from Line 6).....	1103.57		10

I hereby certify that the information on this report and all attached Schedules are true, correct and complete to the best of my knowledge.

Candidate Signature NT AVAILABLE Date

Treasurer Signature [Signature] Date 1/3/03

<sup>1</sup> Short Form is checked if the candidate is filing a Preliminary, Final or Supplemental Report and has aggregate contributions and aggregate expenditures for the reporting period totaling \$2,000 or less.  
<sup>2</sup> Short form reporting requires completion of only Section I, Section II, and Section III of this Disclosure Report.  
An Election Period is the two-year period between general election days if a candidate is seeking nomination or election to a two-year office and the four-year period between general election days if a candidate is seeking nomination or election to a four-year office.

**SECTION IV - DETAILED SUMMARY OF RECEIPTS AND DISBURSEMENTS**  
 (If Necessary, Complete Schedules A through E Before Completing This Section)

RECEIPTS	COLUMN A TOTAL THIS PERIOD	COLUMN B ELECTION PERIOD TOTAL TO DATE	
11. Contributions From:			11
(a) Individuals/Other Entities/Noncandidate Committees/Political Parties			11(a)
(i) Monetary and Non-Monetary Contributions of \$100 or Less .....	350.00	350.00	11(a)(i)
(ii) Monetary and Non-Monetary Contributions of More Than \$100 .....	2250.00	2250.00	11(a)(ii)
(iii) Subtotal (Add Lines 11(a)(i) and 11(a)(ii)).....	2600.00	2600.00	11(a)(iii)
(b) Candidate or Candidate's Immediate Family			11(b)
(i) Monetary and Non-Monetary Contributions of \$100 or Less .....	0.00	0.00	11(b)(i)
(ii) Monetary and Non-Monetary Contributions of More Than \$100 .....	0.00	0.00	11(b)(ii)
(iii) Subtotal (Add Lines 11(b)(i) and 11(b)(ii)) .....	0.00	0.00	11(b)(iii)
12. Total Contributions (Add Lines 11(a)(iii) and 11(b)(iii)) .....	2600.00	2600.00	12
13. Public Funds and Other Receipts .....	0.00	0.00	13
14. Loans .....	1456.52	1456.52	14
15. Total Receipts (Add Lines 12 through 14) .....	4056.52	4056.52	15
DISBURSEMENTS			
16. Expenditures .....	3501.98	3501.98	16
17. Loans Repaid or Forgiven .....	0.00	0.00	17
18. Unpaid Expenditures Paid or Forgiven .....	0.00	0.00	18
19. Subtotal Disbursements (Add Lines 16 through 18) .....	3501.98	3501.98	19
20. Unpaid Expenditures .....	0.00		20
21. Total Disbursements (Add Lines 19 and 20) .....	3501.98		21

CHECK ONLY ONE BOX  
 USE SEPARATE SCHEDULE(S) FOR EACH CATEGORY BELOW  
☒ INDIVIDUALS/OTHER ENTITIES/NONCANDIDATE COMMITTEES/POLITICAL PARTIES  
☐ CANDIDATE OR CANDIDATE'S IMMEDIATE FAMILY

# STATE OF HAWAII CAMPAIGN SPENDING COMMISSION

## SCHEDULE A MONETARY AND NON-MONETARY CONTRIBUTIONS CANDIDATE COMMITTEE

NO INFORMATION OR COPIES FROM THE REPORTS SHALL BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR ANY COMMERCIAL PURPOSE.

CANDIDATE AND CANDIDATE COMMITTEE NAME:

Friends for Carol Anne Philips

3 / 5

DATE OF DEPOSIT OR RECEIPT OF NON-MONETARY CONTRIBUTION	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF DONOR	FOR AGGREGATES OF \$1,000 OR MORE NAME OF EMPLOYER	AMOUNT OF CONTRIBUTION OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD	AGGREGATE ELECTION PERIOD TOTAL TO DATE
	IF A DEPENDENT MINOR, ENTER NAME OF PARENT	OCCUPATION		
11/15/2002	<input type="checkbox"/> NON-MONETARY CONTRIBUTION ChevronTexaco P.O. Box 9034 Concord CA 94524		2000.00	2000.00
11/15/2002	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Pacific Northwest Ltd 55 Merchant Street Suite 1500 Honolulu HI 96813		250.00	250.00

1. SUBTOTAL OF MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (This Page) .....	2250.00	
2. TOTAL MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (Last Page Only) (Transfer total to the applicable Line Number of the Disclosure Report - 11(a)(ii) or 11(b)(ii)) .....	2250.00	

With the exception of loans and unpaid expenditures that are forgiven, non-monetary contributions must also be reported as an "Expenditure" on Schedule B.

Form CC-5(A) (Rev. 5/99)

**STATE OF HAWAII  
CAMPAIGN SPENDING COMMISSION  
SCHEDULE B  
EXPENDITURES  
CANDIDATE COMMITTEE**

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

Friends for Carol Anne Philips

4 / 5

DATE OF EXPENDITURE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF VENDOR OR SOURCE OF NON-MONETARY CONTRIBUTION	PURPOSE OF EXPENDITURE OR DESCRIPTION OF NON-MONETARY CONTRIBUTION	AMOUNT OF EXPENDITURE OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD
11/12/2002	<input type="checkbox"/> NON-MONETARY CONTRIBUTION BodyBoard Productions P.O. Box 8 Haleiwa HI 96712	5016 Other Direct Campaign Expenses : Rental of Pavilion	175.00
11/12/2002	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Joan Craft P.O. Box 8 Haleiwa HI 96712	5006 Food & Beverages : Reimburse - Food	268.45
11/12/2002	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Kathy Flynn P.O. Box 8 Haleiwa HI 96712	5006 Food & Beverages : Reimburse - Food	854.33
11/12/2002	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Ms Carol Philips P.O. Box 8 Haleiwa HI 96712	5019 Printing : Reimburse - Printing	197.20
12/09/2002	<input type="checkbox"/> NON-MONETARY CONTRIBUTION North Shore News P.O. Box 1117 Haleiwa HI 96712	5000 Advertising : Advertising	2007.00

1. SUBTOTAL OF EXPENDITURES THIS PERIOD (This Page) .....	3501.98
2. TOTAL EXPENDITURES THIS PERIOD (Last Page Only) (Transfer total to Line Number 16 of the Disclosure Report) .....	3501.98

ATTACH A COPY OF THE  
EXECUTED LOAN DOCUMENT AT  
THE TIME OF INITIAL DISCLOSURE

# STATE OF HAWAII CAMPAIGN SPENDING COMMISSION

## SCHEDULE D LOANS CANDIDATE COMMITTEE

NO INFORMATION OR COPIES FROM THE REPORTS SHALL BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR ANY COMMERCIAL PURPOSE.

CANDIDATE AND CANDIDATE COMMITTEE NAME:

5 / 5

Friends for Carol Anne Philips

LOAN SOURCE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIPCODE OF LENDER NAME OF EMPLOYER AND OCCUPATION	AMOUNT OF LOAN AT BEGINNING OF THIS PERIOD	NEW LOAN AMOUNT THIS PERIOD	AMOUNT REPAID OR FORGIVEN THIS PERIOD	AMOUNT OF LOAN AT CLOSING OF THIS PERIOD
DATE OF LOAN	PURPOSE OF LOAN				
<input checked="" type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER	Ms Carol Philips P.O. Box 8  Haleiwa HI 96712 Emp/Occu: Self/Promoter	20.00	0.00	<input type="checkbox"/> FORGIVEN 0.00	20.00
08/21/2002	Loan to open checking account				
<input checked="" type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER	Ms Carol Philips P.O. Box 8  Haleiwa HI 96712 Emp/Occu: Self/Promoter	0.00	1456.52	<input type="checkbox"/> FORGIVEN 0.00	1456.52
11/06/2002	Loan to campaign for Miscellaneous Items				

1. SUBTOTAL (This Page) .....	1456.52	0.00	1476.52
2. TOTAL NEW LOANS THIS PERIOD (Last Page Only) (Transfer total to Line Number 14 of the Disclosure Report) .....	1456.52		
3. TOTAL LOANS REPAID OR FORGIVEN THIS PERIOD (Last Page Only) (Transfer total to Line Number 17 of the Disclosure Report) .....		0.00	
4. TOTAL LOANS AT THE CLOSING OF THIS PERIOD (Last Page Only) (Transfer total to Line Number 7 of the Disclosure Report) .....			1476.52

If a loan is forgiven, the loan must be also be reported as a "Non-Monetary Contribution" on Schedule A. The forgiven loan does not have to be reported as an "Expenditure" on Schedule B.